

MAR 09 2006

PTO/SB/21 (08-04)

Approved for use through 07/31/2006, OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/884,908 US Patent 6,482,903	
	Filing Date	September 18, 2000	
	First Named Inventor	Agapios K. Agapiou, et al	
	Art Unit	1713	
	Examiner Name	C. Cacia Lu	
Total Number of Pages in This Submission	9	Attorney Docket Number	1886U039.US

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Statements under 37 CFR 1.324 (b) (1), (2) and (3)
Remarks		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm Name	Southwest Patent Services	
Signature	<i>Douglas W. Miller</i>	
Printed name	Douglas W. Miller	
Date	March 9, 2006	Reg. No. 36,608

CERTIFICATE OF TRANSMISSION/MAILING	
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:	
Signature	<i>Douglas W. Miller</i>
Typed or printed name	Douglas W. Miller
Date	March 9, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 09/253,053
US Pat. No.: 6,660,815
Applicant : Agapios K. Agapiou et al.
Filed : September 24, 2002
Art Unit : 1713
Examiner : C. Caixia Lu

Confirmation No. N/A

**RECEIVED
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Docket No. : 1999U039.US.Div
Customer No. : 25959

Date : March 9, 2006

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

PETITION UNDER 37 C.F.R. § 1.324

Sir:

Patentee's Petition for Correction of inventorship under 37 C.F.R. § 1.324,
pursuant to 35 U.S.C. § 256.

02000000 00000000 10/10/06 00000000	03/13/2006 HTECKLU1 00000058 09253053
ISSUE	01 FC:1464 130.00 0P

Patentee's wish to correct inventorship of the above referenced application/issued patent, by adding an inventor.

FACTS

- i) Statement under 37 C.F.R. § 1.324 (b) (1) from the person who is being added is attached.
- ii) Statements under 37 C.F.R. § 1.324 (b) (2) from the current named inventors (2) is attached.
- iii) Statement under 37 C.F.R. § 1.324 (b) (3) from the assignee is attached.
- iv) Fee as set forth in § 1.20 (b), is attached.

1999U039.Div.US.petition.03.08.06.doc

MAR 09 2006

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Approved for use through 07/31/2008. OMB 0861-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL
for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$130.00)**Complete If Known**

Application Number	09/253,053 US Patent 6,660,815
Filing Date	September 24, 2002
First Named Inventor	Agapios K. Agapiou
Examiner Name	C. Caixia Lu
Art Unit	1713
Attorney Docket No.	1999U039.US.DIV

METHOD OF PAYMENT (check all that apply)☐ Check ☒ Credit card ☐ Money Order ☐ Other ☐ None☐ Deposit Account:

Deposit Account Number	
Deposit Account Name	

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments☐ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 285	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)			(\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims		Extra Claims		Fee from below		Fee Paid	
Independent Claims		- 20** =		X			
Multiple Dependent		- 3** =		X			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 280	2203 145	Multiple dependent claim, if not paid
1204 88	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1806 1,840*	1806 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 490	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1808 180	1808 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	
Petition 37CFR 1.324			130.00

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$130.00)

SUBMITTED BY

Name (Print/Type)	Douglas W. Miller	Registration No. (Attorney/Agent)	36,608	Telephone	409.763.4200
Signature		Date	March 9, 2006		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9198 and select option 2.

CONCLUSION

Patentees have complied with the requirements of 37 C.F.R. § 1.324, pursuant to 35 U.S.C. § 256. The facts, outlined above, support a change (addition) of inventorship.

Accordingly, Patentees respectfully Petition to have the inventorship changed.

Note is made that the correspondence should be sent to

Douglas W. Miller
In representation of Univation Technologies, LLC
c/o Maureen M. White
5555 San Felipe, Suite 1950
Houston, Texas 77056
Facsimile: 713.892.3687

However the telephone number for Douglas W. Miller is (409) 763-4200.

Respectfully submitted,



Douglas W. Miller
Agent for Applicants
Registration No. 36,608

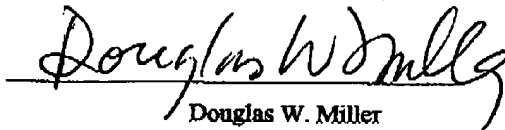
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305 21st Street, Suite 249
Galveston, Texas 77550
(409) 763-4200

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3/9/06

Date



Douglas W. Miller
Registration No. 36,608

1999U039.Div.US.petition.03.08.06.doc

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 09/253,053 Confirmation No. N/A
US Pat. No.: 6,660,815
Applicant : Agapios K. Agapiou et al.
Filed : September 24, 2002
Art Unit : 1713
Examiner : C. Caixia Lu
Docket No. : 1999U039.US.Div
Customer No. : 25959
Date : March 9, 2006

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Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

STATEMENT UNDER 37 C.F.R. § 1.324 (b) (3)

Sir:

Univation Technologies, LLC, is the Assignee of record of the entire right title and interest in the following patent matter:

US Patent Number: 6,660,815 Assignment recorded at reel 011309, frame 0174.

I, Raul Montes, as General Counsel and Secretary of Univation Technologies, LLC, am authorized to act on behalf of Univation Technologies, LLC in patent matters.

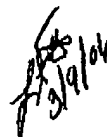
As the Assignee, Univation Technologies, LLC, agrees to the change in inventorship for US Patent Number: 6,660,815, adding Richard B. Pannell as an inventor to this patent in addition to the existing inventors: Chi-I Kuo and Agapios K. Agapiou.

MARCH 9, 2006

Date



Raul R. Montes, US registration No.: 32,545
General Counsel and Secretary,
Univation Technologies, LLC



1999U039 Div US 1 324 b 3 montes 03 08 06.doc

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 09/253,053 Confirmation No. N/A
US Pat. No.: 6,660,815
Applicant : Agapios K. Agapiou et al.
Filed : September 24, 2002
Art Unit : 1713
Examiner : C. Caixia Lu

Docket No. : 1999U039.US.Div
Customer No. : 25959

Date : March 9, 2006

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

STATEMENT UNDER 37 C.F.R. § 1.324 (b) (2)

Sir:

I, Agapios K. Agapiou, state that I am a named inventor on US Patent Number: 6,660,815. I agree to the change in inventorship in this patent to add Richard B. Pannell as an inventor.

March 9, 2006
Date

Agapios K. Agapiou
Agapios K. Agapiou

1999U039.Div.US.1.324.b.2.agapiou.03.08.06

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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MAR 09 2006

Application No. : 09/253,053 Confirmation No. N/A
US Pat. No.: 6,660,815
Applicant : Agapios K. Agapiou et al.
Filed : September 24, 2002
Art Unit : 1713
Examiner : C. Caixia Lu

Docket No. : 1999U039.US.Div
Customer No. : 25959

Date : March 8, 2006

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

STATEMENT UNDER 37 C.F.R. § 1.324 (b) (1)

Sir:

I, Richard B. Pannell, state that I wish to be added as an inventor on the above referenced US patent. In being added as an inventor to US Patent Number: 6,660,815, I state that the inventorship error that resulted in my not being named an inventor on this patent was an error without deceptive intent on my part.

8 March 2006
Date


Richard B. Pannell

~5448334

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**RECEIVED
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Application No. : 09/253,053 Confirmation No. N/A
US Pat. No.: 6,660,815
Applicant : Agapios K. Agapiou et al.
Filed : September 24, 2002
Art Unit : 1713
Examiner : C. Caixia Lu
Docket No. : 1999U039.US.Div
Customer No. : 25959
Date : March 8, 2006

MAR 09 2006

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

STATEMENT UNDER 37 C.F.R. § 1.324 (b) (2)

Sir:

I, Chi-I Kuo, state that I am a named inventor on US Patent Number: 6,660,815. I agree to the change in inventorship in this patent to add Richard B. Pannell as an inventor.

3-08-2006

Date

Chi-I Kuo
Chi-I Kuo

1999U039.Div.US.1.324.b.2.kuo.03.08.06